

# EXHIBIT 12

Internal Case Form Read Only: IC10005966063



## THIS CASE HAS BEEN APPROVED

### Case Form

\* All required fields will be highlighted in RED until the entry is saved. These required fields must be completed prior to submitting for approval.

### Legal Hold? [?]

Case	Notes	All
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### Incident Detail

* Location	14489 - Store #14489 - 3387 BROADWAY NEW YORK, NY	Date Created	3/1/2016
1 - Location Type	Store	Date of Incident [?]	11/2/2015
2 - Department	Field APM	Incident Time [?]	
3 - Leadership	Senior Director APS	Event Type	Internal
4 - Management	APD 35 MICHAEL HOURIGAN JR	Event Sub Type	
Store Phone Number	[REDACTED]	* Predication	Store Operations
Address	3387 BROADWAY	Source [?]	
City	NEW YORK	* Predication Method	Exception Report Review
State	NY		
ZIP	10031		
District	D00760 Upper Manhattan DWAYNE RICHARDS		
Region	R00035 Duane Reade Jeff Bruneteau		

### Record Status

Created By	TROY HENNESSY
Owner ID	TROY HENNESSY
Status	Closed

### Subject Information (1)

Last Name	WASHINGTON
Middle Name	C
First Name	CIERA
Home Address	[REDACTED]
Address 2	[REDACTED]
City	[REDACTED]
State	[REDACTED]
Zip / Postal Code	[REDACTED]
Birth Date	[REDACTED]
Job Title	Shift Leader Duane Reade
Employee ID [?]	2534450
Employee Status	Terminated

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<b>Department</b>	District Management
<b>Company Hire Date</b>	9/16/2015
<b>Separation Date</b>	2/23/2016

**Reporting Party [?]** Yes

**Reporting Party [?] (1)**

<b>First Name</b>	LUIS
<b>Middle Name</b>	ADAMS
<b>Last Name</b>	GUERRERO
<b>Employee ID</b>	1874910
<b>Job Title</b>	Duane Reade Store Manager

**Witness [?]** No

**Person with Knowledge [?]** No

**Parent or Guardian** No

**Person Acting in Concert (If not already documented as an additional Subject) [?]**

**Victim [?]** No

**Allegation(s)**

Subject of Allegation	Allegation	Specific Type	Method	Allegation Status
[REDACTED] SPEARS (Persons Acting In Concert)	Theft or Fraud	Unauthorized Discounts	POS - Price Modify	Confirmed
[REDACTED] PEREZ (Persons Acting In Concert)	Theft or Fraud	Unauthorized Discounts	POS - Price Modify	Not Confirmed
[REDACTED] DAVIS (Persons Acting In Concert)	Theft or Fraud	Unauthorized Discounts	POS - Price Modify	Confirmed
CIERA WASHINGTON (Subject Information)	Theft or Fraud	Unauthorized Discounts	POS - Price Modify	Confirmed

**\* Have You Finalized All Allegation Statuses?**

**Should Compliance be notified?**

**Subject Disposition**

<b>* Did you interview the Subject?</b> Yes	<b>* Was the Subject Suspended?</b> Yes
<b>Date Interviewed</b> 2/22/2016	<b>* Final Subject Disposition</b> Terminated
<b>Did You Have a Witness for the Interview?</b> Yes	<b>Separation Date</b> 2/23/2016
<b>First Name of Witness:</b> Luis	<b>If the Subject was interviewed, did the person who witnessed the interview also inform the employee of termination?</b> Yes
<b>Last Name of Witness:</b> Guerrero	<b>Was Civil Demand reviewed with the Employee?</b> No
<b>Job Title of Witness:</b> Store Manager	<b>* Significant Case? [?]</b> No
<b>Verbal Admission?</b> Yes	<b>* Employee Relations Consulted?</b> Yes
<b>Written Statement?</b> Yes	<b>First Name of ER Contact:</b> Vivian
<b>* Subject was Acting...</b>	<b>Last Name of ER Contact:</b> Ghobrial
	<b>* Subject Prosecuted?</b> No

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**\* Trespass Notice Issued?**  
**(Print Form Below & Have Subject**  
**Sign) [?]**

## Investigation Detail

### Investigative Questions

**Were you assisted by any Corporate APS Personnel (Ex. Investigative Analyst)?** No

**Were you assisted by any local Pharmacy personnel?** No

### AP Dashboard Usage

**Was AP Dashboard Used?** No

## Administrative Section

**\* Do any Prescription Billing Claims need to be Reversed?** No  
 Please contact your Healthcare Supervisor to coordinate the reversal [?]

**\* Was Cash, Merchandise or Drugs Involved?** No

**Was Credit or Debit card, W Card, Balance Rewards, Prescription Savings Club or Checking Account information obtained?** No

**Law Enforcement Notified?** No

### Significant Case Summary

**(Do Not Include Confidential Information.) [?]**

No record found

### \* Full Narrative

On 11/02/2015 Asset Protection Manager (APM) Troy Hennessy was contacted by the Point of Contact (POC) Fernando Maldonado regarding possible fraudulent discounts at store 14489. POC attached a business objects report concerning price modifications.

On 11/05/2015 APM reviewed CCTV and POS transactions. Greg [REDACTED] (Subject) can be seen on camera at the same time price modifications are notated on the business objects report. Also seen on camera is [REDACTED] Perez (Subject Perez) receiving discounted merchandise from Subject Spears.

On 11/09/2015 APM interviewed Subject Spears and Subject Perez in the presence of Store Manager (SM) Luis Guerrero. Subject Perez stated that the discount she received was due to a sale product substitution. This was confirmed by reviewing the sales flyer. Subject Spears admitted to performing fraudulent price modifies totaling \$480. Subject stated that he was performing price modifications for people that needed help. APM contacted Human Resources (HR) Representative Vivian Ghobrial and shared the facts of the case.

On 11/12/2015 Subject Spears was terminated by SM after HR recommendation.

Further review of video shows [REDACTED] Davis (Subject Davis) and Ciera Washington (Subject Washington) receiving discounted merchandise from Subject Spears as well. Subject Davis resigned from the company before an interview was possible. Subject Washington is currently on medical leave.

On 2/22/2016 APM interviewed Subject Washington in the presence of SM. Subject Washington admitted to leaving the store with merchandise that was reduced by Subject Spears. Subject Washington stated that she returned some merchandise at a later date. Subject Washington provided a written statement. Subject was suspended by SM. APM HR and shared the facts of the case along with the statement.

On 2/23/2016 Subject Washington was terminated by SM after HR recommendation

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\*Video files will not upload but are filed at 40 Wall St. 21st Floor NY, NY 10003

### Evidence Attachments

(After attaching Digital Evidence, Retain a Hard Copy Only if Required by Authorities) (6)

Description	File Name	Attached By	Date	Evidence given to PD
ELECTRONIC JOURNAL REPORT ( Transactions 10/10/2015 )	<u>14489 Transactions 10102015.pdf</u>	██████████ MALDONADO	11/2/2015	0
OTHER	<u>14489 statements.pdf</u>	TROY HENNESSY	11/16/2015	0
WRITTEN STATEMENT(S)	<u>14489 ██████████ perez statement.pdf</u>	TROY HENNESSY	11/20/2015	0
WRITTEN STATEMENT(S)	<u>14489 ██████████ Yeotis statement.pdf</u>	TROY HENNESSY	11/20/2015	0
ELECTRONIC JOURNAL REPORT	<u>ciera washington br history .pdf</u>	TROY HENNESSY	3/1/2016	0
OTHER	<u>ciera statement.pdf</u>	TROY HENNESSY	3/1/2016	0

### Forms and Letters (0)

Letter Type	Case Related Person	Printed Date	Printed By	Printed
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### Restitution Payments

Date Paid	Amount Paid	Register Number	Transaction Number
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### Related Events

Related investigation • IC10005624886

### For Leadership Use Only

Civil Demand Override [?]

Restitution Override [?]

Task (0 Pending)

### Notes [?]

11/5/2015 Store visit- allegation is conformed through CCTV. See subject modifying for another employee ██████████. Also possible balance reward fraud. ██████████ lang may be another person of interest

Mark This Case Confidential? No